

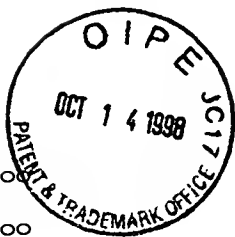
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

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ATTORNEY DOCKET NO. 02481.1597

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

U.S. Patent Application for  
NOVEL INSULIN DERIVATIVES HAVING A RAPID ONSET OF ACTION  
Inventors: Johann ERTL et al.  
Serial No.: 09/099,307  
Filed: June 18, 1998

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts dated August 14, 1998, we enclose the Declaration and a copy of the Notice To File Missing Parts.

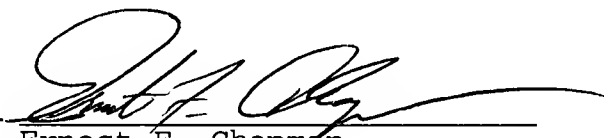
Enclosed is a check in the amount of \$1844.00 to cover the surcharge of \$130.00, the filing fee of \$790.00, and \$924.00 for total claims in excess of twenty. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

Please associate this declaration with the application as filed on June 18, 1998 and assigned serial number 09/099,307.

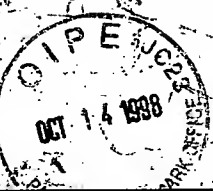
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Ernest F. Chapman  
Reg. No. 25,961

Dated: October 14, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/089,307 06/18/98 ERTL J 02481.1597

FINNEGAN HENDERSON FARABOW  
GARRETT & DUNNER  
1300 I STREET N.W.  
WASHINGTON DC 20005-3015

NOT ASSIGNED

DATE MAILED:

08/14/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1944

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 924, including any multiple dependent claim fees, are required.

\$ for independent claims over 3.

\$ 924 for 42 dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

10/20/1998 The signature of the following joint inventor(s) is missing from the oath or declaration:

01 FC:102 790.00 DP  
02 FC:103 An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted  
03 FC:105 inventor(s), identifying the application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*D. Monroe*  
Customer Service Center.

Initial Patent Examination Division (703) 308-1202

**BEST AVAILABLE COPY**